



Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
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EFS ID: 54528  
Application ID: 10654091  
Title of Invention: System and method for financial instrument pre-qualification and offering  
First Named Inventor: J. LeKachman  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2003-09-04  
Effective Receipt Date: 2004-01-30  
Submission Type: Information Disclosure Statement  
Filing Type:  
Confirmation number: 5822  
Attorney Docket Number: 47004.000216

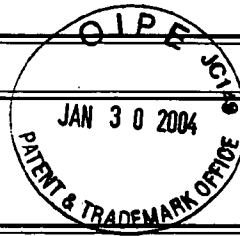



Total Fees Authorized:

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## TRANSMITTAL

Electronic Version v1.1  
Stylesheet Version v1.1.0

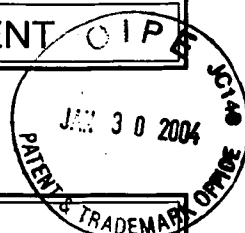


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<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Herbert V. Kerner Registered Number: 42,721</td><td>Herbert V. Kerner</td><td>Attorney</td></tr></tbody></table>			Submitted by:	Elec. Sign.	Sign. Capacity	Herbert V. Kerner Registered Number: 42,721	Herbert V. Kerner	Attorney		
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<table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-ids</td><td>2ndIDSfor47004.216CAT1-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>			Documents being submitted	Files	us-ids	2ndIDSfor47004.216CAT1-usidst.xml		us-ids.dtd		us-ids.xsl
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Comments										

# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0



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Search string: ( 5809478 or 5815683 or 5832447 or 5838906  
or 5842178 or 5844553 or 5845256 or 5848427  
or 5862223 or 5875437 or 5878403 or 5899982  
or 5913302 or 5918217 or 5920848 or 5926800  
or 5930764 or 5930775 or 5930776 or 5940812  
or 5963953 or 5966699 or 5987434 ).pn.

## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
HT	1	5809478	1998-09-15	Greco et al.			
	2	5815683	1998-09-29	Volger			
	3	5832447	1998-11-03	Rieker et al.			
	4	5838906	1998-11-17	Doyle et al.			
	5	5842178	1998-11-24	Giovannoli			
	6	5844553	1998-12-01	Hao et al.			
	7	5845256	1998-12-01	Pescitelli et al.			
	8	5848427	1998-12-08	Hyodo			
	9	5862223	1999-01-19	Walker et al.			
	10	5875437	1999-03-23	Atkins			
	11	5878403	1999-03-02	DeFrancesco et al.			
	12	5899982	1999-05-04	Randle			
	13	5913302	1999-06-15	Motoyama			
	14	5918217	1999-06-29	Maggioncalda et al.			
	15	5920848	1999-07-06	Schutzer et al.			
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HT	17	5930764	1999-07-27	Melchioine et al.
	18	5930775	1999-07-27	McCauley et al.
	19	5930776	1999-07-27	Dykstra et al.
	20	5940812	1999-08-17	Tengel et al.
	21	5963953	1999-10-05	Cram et al.
	22	5966699	1999-10-12	Zandi
✓	23	5987434	1999-11-16	Libman

Signature

Examiner Name	Date
/Hai Tran/	4/7/2011